

PATENT
37960-000105/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Application. No.: 10/521,240

Applicant(s): Hans-Dietrich SULZER

International Appl. No.: PCT/CH03/00408

Conf.: 8761

Filed: January 14, 2005

For: METHOD FOR PRODUCING HEAT EXCHANGER
ELEMENTS, HEAT EXCHANGER ELEMENTS AND
METHOD FOR ASSEMBLING SUCH ELEMENTS

**RESPONSE TO NOTICE TO FILE MISSING
REQUIREMENTS OF AN APPLICATION**

Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314
Mail Stop PCT

August 12, 2005

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

Executed Declaration and Power of Attorney.

Original Photocopy

The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on

/

, including any amendments thereto (if applicable) filed on even date therewith.

The undersigned hereby declares that "Attorney Docket No. 37960-000105 on every page of the inventor's Declaration, filed on August 12, 2005, corresponds to Appl. No. 10/521,240, filed on January 14, 2005, entitled **METHOD FOR PRODUCING HEAT EXCHANGER ELEMENTS, HEAT EXCHANGER ELEMENTS AND METHOD FOR ASSEMBLING SUCH ELEMENTS.**"

English language specification, claims, and Abstract with () sheets of drawings.

Applicant claims small entity status under 37 C.F.R. § 1.27.

Attached is a copy of Form PCT/DO/EO/905.

No extension fee is required because the undersigned has filed the documents within the allotted time given by the Notification of Missing Requirements (Form PCT/DO/EO/905). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Applicant(s) hereby respectfully petitions for () month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$0.00 is attached hereto.

The Government Filing Surcharge in the amount of **\$65.00** in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on **January 14, 2005**.

Submitted concurrently herewith **under separate cover** for recording is an Assignment.

A fee of **\$100.00** to cover the excess independent claims fee is enclosed.

Check(s) in the amount of **\$100.00** to cover the above-mentioned fee is enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By 
Donald J. Daley, Reg. No. 34,313

P.O. Box 8910

Reston, VA 20195

(703) 668-8000

DJD:bof
Attachments

(Rev. 01/13/05)

#5
DWD

UNITED STATES PATENT AND TRADEMARK OFFICE

Rec'd PCT/PTO

12 AUG 2005

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

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|---|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/521,240 | Hans-Dietrich Sulzer | 37960-000105/US |
| INTERNATIONAL APPLICATION NO. | | |
| PCT/CH03/00408 | | |
| IA. FILING DATE | | PRIORITY DATE |
| 06/23/2003 | | 07/15/2002 |
| CONFIRMATION NO. 8761 371 FORMALITIES LETTER  *OC000000016503720* | | |

30593
 HARNESS, DICKEY & PIERCE, P.L.C.
 P.O. BOX 8910
 RESTON, VA 20195
Miss Reg.
NTFMP
9/13/05 ✓ AOD

Date Mailed: 07/13/2005

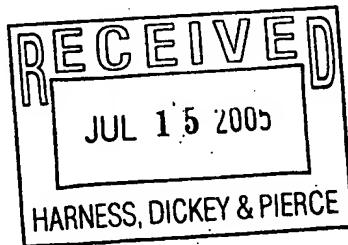
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 01/14/2005
- English Translation of the IA filed on 01/14/2005
- Preliminary Amendments filed on 01/14/2005
- Information Disclosure Statements filed on 01/14/2005
- Small Entity Statement filed on 01/14/2005
- Request for Immediate Examination filed on 01/14/2005
- U.S. Basic National Fees filed on 01/14/2005
- Priority Documents filed on 01/14/2005

08/17/2005 GFREY1 00000086 10521240

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$100 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$100 for a Small Entity:

- Total additional claim fee(s) for this application is \$ 100

- \$100 for 1 independent claims over 3.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/521,240 | PCT/CH03/00408 | 37960-000105/US |

FORM PCT/DO/EO/905 (371 Formalities Notice)